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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/043,939	
	Filing Date	January 11, 2002	
	First Named Inventor	Lisa DHAR	
	Art Unit	1774	
	Examiner Name	T. Dicus	
Total Number of Pages in This Submission	10	Attorney Docket Number	495812001900

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (original + copy for fee processing (2 pages)) <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply (3 pages) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (1 page) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none">• Notice of Change of Status and Payment of Deficiency Owed (3 pages)• Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MORRISON & FOERSTER LLP (Customer No.: 25226)		
Signature			
Printed name	Christopher B. Eide		
Date	February 8, 2006	Reg. No.	48,375

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV534439687US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: February 8, 2006	Signature: (Georgina Matos)



I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV534439687US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: February 8, 2006

Signature:

Georgina Maños
(Georgina Maños)

Docket No.: 495812001900
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Lisa DHAR et al.

Application No.: 10/043,939

Confirmation No.: 9372

Filed: January 11, 2002

Art Unit: 1774

For: METHOD AND APPARATUS FOR
MULTILAYER OPTICAL ARTICLES

Examiner: T. Dicus

**NOTICE OF CHANGE OF STATUS and PAYMENT OF DEFICIENCY OWED
UNDER 37 C.F.R. §1.28 (c)**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It has recently come to our attention that a good faith error appears to have been made regarding the entity status of the above-referenced application and that some fee payments appear to have been made in error claiming small entity discount.

As required under 37 C.F.R. §1.28(c), to correct these oversights and in order for the error in payments to be excused we hereby submit an itemization of all erroneous small entity payments and the differential fees, together with the deficiency payment.

02/15/2006 TBESHAH1 00000013 031952 10043939

01 FC:1001	790.00 DA
02 FC:1202	1400.00 DA
03 FC:1201	400.00 DA
04 FC:1051	130.00 DA
05 FC:1251	120.00 DA
06 FC:1202	250.00 DA
07 FC:1801	790.00 DA
08 FC:1251	120.00 DA
09 FC:1251	120.00 DA

Itemization of all erroneous small entity payments and the differential fees:

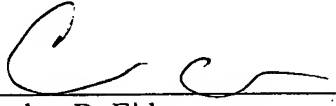
TYPE OF FEE	DATE PAID	SMALL ENTITY FEE PAID	CURRENT FEE AMOUNT	LARGE ENTITY DIFFERENTIAL FEE
Application Filing Fee	January 11, 2002	\$706.00	\$2,800.00	\$2,094.00
Missing Parts	April 2, 2002	\$65.00	\$130.00	\$65.00
Response	November 24, 2003	\$100.00	\$370.00	\$270.00
RCE	January 7, 2005	\$455.00	\$910.00	\$455.00
Response	July 25, 2005	\$60.00	\$120.00	\$60.00
TOTALS		\$1,386.00 (Total Fees Previously Paid)	\$4,330.00 (Current Fee Amount)	\$2,944.00 (Corrected Fee Amount to be charged to Deposit Account No. 03-1952)

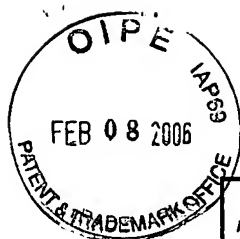
Based upon the above, Applicants believe the differential between the small entity fees previously paid and the large entity fees now owing should total \$2,944.00. Applicants request this *differential fee* be paid from our **Deposit Account No. 03-1952.**

Pursuant to 37 C.F.R. § 1.28(c), the calculated deficient "large entity fees" are based upon the amount of the fee in effect at the time the deficiency is paid in full. In the event the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. 495812001900.

Respectfully submitted,

Dated: February 8, 2006

By 
Christopher B. Eide
Registration No.: 48,375
MORRISON & FOERSTER LLP
755 Page Mill Road
Palo Alto, California 94304-1018
(650) 813-5720



PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known	
FEE TRANSMITTAL For FY 2005		Application Number	10/043,939
		Filing Date	January 11, 2002
		First Named Inventor	Lisa DHAR
		Examiner Name	T. Dicus
		Art Unit	1774
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	495812001900
TOTAL AMOUNT OF PAYMENT		(\$)	3,064.00

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Money Order	<input type="checkbox"/> None
<input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account	Deposit Account Number: <u>03-1952</u> Deposit Account Name: <u>Morrison & Foerster LLP</u>
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
	FILING FEES		SEARCH FEES		EXAMINATION FEES		
Application Type	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	0.00
Design	200	100	100	50	130	65	0.00
Plant	200	100	300	150	160	80	0.00
Reissue	300	150	500	250	600	300	0.00
Provisional	200	100	0	0	0	0	0.00
2. EXCESS CLAIM FEES							
							Small Entity Fee (\$)
Fee Description							Fee (\$)
Each claim over 20 (including Reissues)							50
Each independent claim over 3 (including Reissues)							200
Multiple dependent claims							360
Total Claims <u>22</u> - 53 = <u>0</u> Extra Claims <u>0</u> Fee (\$) <u>50.00</u> Fee Paid (\$) <u>0.00</u>							
Indep. Claims <u>2</u> - 5 = <u>0</u> Extra Claims <u>0</u> Fee (\$) <u>200.00</u> Fee Paid (\$) <u>0.00</u>							
Multiple Dependent Claims							
Fee (\$) <u>360.00</u> Fee Paid (\$) <u>0.00</u>							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof		Fee (\$)	Fee Paid (\$)		
<u> </u> - 100 = <u> </u>	<u> </u> / 50	<u> </u> (round up to a whole number) x		<u>250.00</u>	<u>0.00</u>		
4. OTHER FEE(S)							
							Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)							0.00
Other (e.g., late filing surcharge): 1251 Extension for response within first month							120.00
Deficient Fees Owed							2,944.00

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	48,375
Name (Print/Type)	Christopher B. Eide	Telephone	(650) 813-5720
		Date	February 8, 2006